Part 4 Semantic analysis

Structure of a compiler



Semantic analysis



- Context-free grammar can not represent all language constraints, e.g. non local/context-dependent relations.
- Semantic analysis checks the source program for semantic consistency with the language definition.
 - A variable can not be used without having been defined
 - The same variable/function/class/method can not be defined twice
 - The number of arguments of a function should match its definition
 - One can not multiply a number and a string
 - ▶ ...
- Last compiler phase that can report errors to the user.
- Also, figures out useful information for later compiler phases (e.g., types of all expressions)

Outline

1. Syntax-directed translation

2. Abstract syntax tree

3. Type and scope checking

Syntax-directed definition

- A general way to associate actions (i.e., programs) to production rules of a context-free grammar
- Used for carrying out most semantic analyses as well as code translation
- A syntax-directed definition associates:
 - With each grammar symbol, a set of attributes, and
 - With each production, a set of semantic rules for computing the values of the attributes associated with the symbols appearing in the production
- A grammar with attributes and semantic rules is called an attributed grammar
- A parse tree augmented with the attribute values at each node is called an annotated parse tree.

Example

Grammar:

S ightarrow aSemantic ru	$ Sb aS cSacS \epsilon$ ules:	$\begin{array}{c} 4S \\ a \\ c \\ c \\ 1S0 \\ c \\ c \\ 1S0 \\ a \\ c \\ c$	150
Production	Semantic rules		
$S ightarrow aS_1b$	$S.nba := S_1.nba + 1$	— a 0.30	
	$S.nbc := S_1.nbc$		
$S ightarrow aS_1$	$S.nba := S_1.nba + 1$	÷	ε
	$S.nbc := S_1.nbc$		
$S \rightarrow cS_1 a cS_2$	$S.nba := S_1.nba + S_2.nba + 1$		асаасарр
	$S.nbc := S_1.nbc + S_2.nbc + 2$		
$S ightarrow \epsilon$	<i>S.nba</i> := 0		
	S.nbc := 0		
$S' \rightarrow S$	Final result is in <i>S</i> . <i>nba</i> and <i>S</i> . <i>n</i>	bc	

(subscripts allow to distinguish different instances of the same symbol in a rule)

Attributes

Two kinds of attributes

- Synthesized: Attribute value for the LHS nonterminal is computed from the attribute values of the symbols at the RHS of the rule.
- Inherited: Attribute value of a RHS nonterminal is computed from the attribute values of the LHS nonterminal and some other RHS nonterminals.
- Terminals can have synthesized attributes, computed by the lexer (e.g., *id.lexeme*), but no inherited attributes.

Example: synthesized attributes to evaluate expressions

Left-recursive expression grammar

Production	Semantic rules
$L \rightarrow E$	L.val = E.val
$E \rightarrow E_1 + T$	$E.val = E_1.val + T.val$
$E \rightarrow T$	E.val = T.val
$T \rightarrow T_1 * F$	$T.val = T_1.val \times F.val$
$T \rightarrow F$	T.val = F.val
$F \rightarrow (E)$	F.val = E.val
$F \rightarrow num$	F.val = num.lexval



Example: inherited attributes to evaluate expressions

LL expression grammar

 $\begin{array}{l|ll} \mbox{Production} & \mbox{Semantic rules} \\ \hline T \rightarrow FT' & T'.inh = F.val \\ & T.val = T'.syn \\ T' \rightarrow *FT_1' & T_1'.inh = T'.inh \times F.val \\ & T'.syn = T_1'.syn \\ T' \rightarrow \epsilon & T'.syn = T'.inh \\ F \rightarrow \mbox{num} & F.val = \mbox{num}.lexval \\ \end{array}$



Evaluation order of SDD's

General case of synthesized and inherited attributes:

- Draw a dependency graph between attributes on the parse tree
- Find a topological order on the dependency graph (possible if and only if there are no directed cycles)
- If a topological order exists, it gives a working evaluation order. If not, it is impossible to evaluate the attributes

In practice, it is difficult to predict from an attributed grammar whether no parse tree will have cycles

Example:





Evaluation order of SDD's

Some important particular cases:

- A grammar with only synthesized attributes is called a *S*-attributed grammar.
- Attributes can be evaluated by a bottom-up (postorder) traversal of the parse tree



Evaluation order of SDD's

Some important particular cases:

- A syntax-directed definition is *L*-attributed if each attribute is either
 - 1. Synthesized
 - 2. Inherited "from the left": if the production is $A \to X_1 X_2 \dots X_n$, then the inherited attributes for X_i can depend only on
 - 2.1 Inherited attributes of A
 - 2.2 Any attributes among X_1, \ldots, X_{j-1} (symbols at the left of X_j
 - 2.3 Attributes of X_j (provided they are not causing cycles)
- To evaluate the attributes: do a depth first traversal evaluating inherited attributes on the way down and synthesized attributes on the way up (i.e., an Euler-tour traversal)



Translation of code

- Syntax-directed definitions can be used to translate code
- Example: translating expressions to post-fix notation

Production	Semantic rules
$L \rightarrow E$	L.t = E.t
$E \rightarrow E_1 + T$	$E.t = E_1.t T.t '+'$
$E ightarrow E_1 - T$	$E.t = E_1.t T.t '-'$
E ightarrow T	E.t = T.t
$T ightarrow T_1 * F$	$T.t = T_1.t F.t '*'$
T ightarrow F	T.t = F.t
$F \rightarrow (E)$	F.t = E.t
$F \rightarrow num$	F.t = num . <i>lexval</i>

Syntax-directed translation scheme

- The previous solution requires to manipulate strings (concatenate, create, store)
- An alternative is to use syntax-directed translation schemes.
- A syntax-directed translation scheme (SDT) is a context-free grammar with program fragments (called semantic actions) embedded within production bodies:

$$A \to \{R_0\}X_1\{R_1\}X_2\ldots X_k\{R_k\}$$

- Actions are performed from left-to-right when the rules is used for a reduction
- Interesting for example to generate code incrementally

Example for code translation



(Post-fix SDT as all actions are performed at the end of the productions)

Side-effects

- Semantic rules and actions in SDD and SDT's can have side-effects.
 E.g., for printing values or adding information into a table
- Needs to ensure that the evaluation order is compatible with side-effects
- Example: variable declaration in C

Production	Semantic rules	
$D \rightarrow TL$	L.type = T.type	(inherited)
T ightarrow int	T.type = int	(synthesized)
$T ightarrow { m float}$	T.type = float	(synthesized)
$L ightarrow L_1, id$	L_1 .type = L.type	(inherited)
	AddType(id .entry, L.type)	(synthesized, side effect)
$L ightarrow { m id}$	AddType(id.entry, L.type)	(synthesized, side effect)

• **id**.*entry* is an entry in the symbol table. *AddType* adds type information about entry in the symbol table

Implementation of SDD's: after parsing

Attributes can be computed after parsing:

- By explicitly traversing the parse or syntax tree in any order permitting the evaluation of the attributes
- Depth-first for S-attributed grammars or Euler tour for L-attributed grammar
- Advantage: does not depend on the order imposed by the syntax analysis
- Drawback: requires to build (and store in memory) the syntax tree

Evaluation after parsing of L-attributed grammar

For *L*-attributed grammars, the following recursive function will do the computation for inherited and synthesized attributes



- Inherited attributes are passed as arguments and synthesized attributes are returned by recursive calls
- In practice, this is implemented as a big two-level switch on nonterminals and then rules with this nonterminal at its LHS

Variations

- Instead of a giant switch, one could have separate routines for each nonterminal (as with recursive top-down parsing) and a switch on productions having this nonterminal as LHS (see examples later)
- Global variables can be used instead of parameters to pass inherited attributes by side-effects (with care)
- Can be easily adapted to use syntax-directed translation schemes (by interleaving child analysis and semantic actions)
- In object-oriented languages, this can be implemented with:
 - one class for each nonterminal symbol, or for each syntactic category (expression, statement, etc.)
 - either one 'Analyse' method in each class (difficult to maintain, extend) or using the visitor design pattern.

Implementation of SDD's: during parsing

Attributes can be computed directly during parsing:

- Attributes of a S-attributed grammar are easily computed during bottom-up parsing
- Attributes of a *L*-attributed grammar are easily computed during top-down parsing
- Attribute values can be stored on a stack (the same as the one for parsing or a different one)
- Advantage: one pass, does not require to store (or build) the syntax tree, memory efficient
- Drawback: the order of evaluation is constrained by the parser, not modular

Nowadays, mostly only used to generate the abstract syntax tree

Bottom-up parsing and S-attributed grammar

- Synthesized attributes are easily handled during bottom-up parsing. Handling inherited attributes is possible (for a *LL*-grammar) but more difficult.
- Example with only synthesized attributes (stored on a stack):

Production	Semantic rules	Stack actions
$E \rightarrow E_1 + T$	$E.val = E_1.val + T.val$	tmpT = POP()
		tmpE = POP()
		PUSH($tmpE + tempT$)
E ightarrow T	E.val = T.val	
$T \rightarrow T_1 * F$	$T.val = T_1.val \times F.val$	tmpT = POP()
		tmpF = POP()
		PUSH($tmpT * tempF$)
T ightarrow F	T.val = F.val	
F ightarrow (E)	F.val = E.val	
$F \rightarrow num$	F.val = num.lexval	PUSH(num.lexval)

(Parsing tables on slide 189)

Bottom-up parsing and S-attributed grammar

Stack	Input	Action	Attribute stack
\$ O	2 * (10 + 3) \$	s5	
\$ 0 2 5	*(10+3)\$	r6: $F \rightarrow$ num	2
\$ 0 F 3	*(10+3)\$	r4: $T \rightarrow F$	2
\$ 0 T 2	*(10+3)\$	s7	2
\$0 <i>T</i> 2 * 7	(10+3)\$	s4	2
\$0T2*7(4	10+3)\$	s5	2
\$072 * 7 (4105	+3)\$	rб: $F \rightarrow$ num	2 10
\$0T2*7(4F3	+3)\$	r4: $T \rightarrow F$	2 10
\$0 <i>T</i> 2 * 7(4 <i>T</i> 2	+3)\$	r2: $E \rightarrow T$	2 10
\$0 <i>T</i> 2 * 7(4 <i>E</i> 8	+3)\$	s6	2 10
\$0T2*7(4E8+6	3)\$	s5	2 10
0 T 2 * 7 (4 E 8 + 6 3 5))\$	r6: $F \rightarrow$ num	2 10 3
\$072 * 7 (4E8 + 6F3)\$	r4: $T \rightarrow F$	2 10 3
0 T 2 * 7 (4 E 8 + 6 T 9))\$	r1: $E \rightarrow E + T$	2 13
\$0 <i>T</i> 2 * 7(4 <i>E</i> 8)\$	s11	2 13
\$0 <i>T</i> 2 * 7(4 <i>E</i> 8)11	\$	r5: $F \rightarrow (E)$	2 13
\$ 0 T 2 * 7 F 10	\$	r3: $T \rightarrow T * F$	26
\$0 <i>T</i> 2	\$	r2: $E \rightarrow T$	26
\$ 0 <i>E</i> 1	\$	Accept	26

Top-down parsing of *L*-attributed grammar

- Recursive parser: the analysis scheme of slide 248 can be incorporated within the recursive functions of nonterminals
- Table-driven parser: this is also possible but less obvious.
- Example with only inherited attributes (stored on a stack):

Production	Semantic rules	Stack actions
$S' \rightarrow S$	S.nb = 0	PUSH(0)
$\overline{S \rightarrow (S_1)S_2}$	$S_1.nb = S.nb + 1$	PUSH(TOP() + 1)
	$S_2.nb = S.nb$	
$S ightarrow \epsilon$	PRINT(S.nb)	PRINT(POP())

(print the depth of nested parentheses)

Parsing table:

$$\begin{array}{c|c} () & \$ \\ \hline S' & S' \to S & S' \to S \\ S & S \to (S)S & S \to \epsilon & S \to \epsilon \end{array}$$

Top-down parsing of *L*-attributed grammar

Stack	Input	Attribute stack	Output
<i>S</i> ′\$	(()(()))()	0	
5\$	(()(()))()	01	
(S)S\$	(()(()))()	01	
S)S\$	()(()))()	012	
(S)S)S\$	()(()))()	012	
5)5)5\$)(()))()	01	2
)S)S\$)(()))()	01	
S)S\$	(()))()	012	
(S)S)S\$	(()))()	012	
5)5)5\$	()))()	0123	
(S)S)S)S\$	()))()	0123	
S)S)S)S\$)))()	012	3
)S)S)S\$)))()	012	
S)S)S\$))()	01	2
)S)S\$))()	01	
S)S\$)()	0	1
)5\$)()	0	
5\$	()	01	
(S)S\$	0	01	
S)S\$)	0	1
)5\$)	0	
5\$			0
\$			

Comments

- It is possible to transform a grammar with synthesized and inherited attributes into a grammar with only synthesized attributes
- It is usually easier to define semantic rules/actions on the original (ambiguous) grammar, rather than the transformed one
- There are techniques to transform a grammar with semantic actions (see reference books for details)

Applications of SDD's

SDD can be used at several places during compilation:

- Building the syntax tree from the parse tree
- Various static semantic checking (type, scope, etc.)
- Code generation
- Building an interpreter

...

Outline

1. Syntax-directed translation

2. Abstract syntax tree

3. Type and scope checking



- The abstract syntax tree is used as a basis for most semantic analyses and for intermediate code generation (or even used as an intermediate representation)
- When the grammar has been modified for parsing, the syntax tree is a more natural representation than the parse tree
- The abstract syntax tree can be constructed using SDD (see next slides)
- Another SDD can then be defined on the syntax tree to perform semantic checking or generate another intermediate code (directed by the syntax tree and not the parse tree)

Generating an abstract syntax tree

For the left-recursive expression grammar:





Generating an abstract syntax tree



Syntactic sugar

- Syntactic sugar: syntax within a programming language that makes things easier to read or to express but does not affect functionality and expressive power of the language.
- When building the syntax tree, it is useful to remove sugared constructs (= "desugaring").
- It makes subsequent phases easier to implement and maintain.
- Examples:
 - In C: a[i] (= (a+i)*), a->x (= (*a).x)
 - All loop operators (for, repeat, while) can be rewritten as while loops.
- Information should however be kept about original code for semantic error reporting.

Outline

1. Syntax-directed translation

2. Abstract syntax tree

3. Type and scope checking

Type and scope checking

- Static checkings:
 - All checkings done at compilation time (versus dynamic checkings done at run time)
 - Allow to catch errors as soon as possible and ensure that the program can be compiled
- Two important checkings:
 - Scope checking: checks that all variables and functions used within a given scope have been correctly declared
 - Type checking: ensures that an operator or function is applied to the correct number of arguments of the correct types
- These two checks are based on information stored in a symbol table

Scope { int x = 1; int y = 2; { double x = 3.1416; y += (int)x; } y += x; }

- Most languages offer some sort of control for scopes, constraining the visibility of an identifier to some subsection of the program
- A scope is typically a section of program text enclosed by basic program delimiters, e.g., {} in C, begin-end in Pascal.
- Many languages allow nested scopes, i.e., scopes within scopes. The current scope (at some program position) is the innermost scope.
- Global variables and functions are available everywhere
- Determining if an identifier encountered in a program is accessible at that point is called Scope checking.

Symbol table

```
{ int x; int y;
    { int w; bool y; int z;
        ..w..; ..x..; ..y..; ..z..;
    }
    ..w..; ..x..; ..y..;
}
```



- The compiler keeps track of names and their binding using a symbol table (also called an environment)
- A symbol table must implement the following operations:
 - Create an empty table
 - Add a binding between a name and some information
 - Look up a name and retrieve its information
 - Enter a new scope
 - Exit a scope (and reestablish the symbol table in its state before entering the scope)

Symbol table

- To manage scopes, one can use a persistent or an imperative data structure
- A persistent data structure is a data structure which always preserves the previous version of itself when it is modified
- Example: lists in functional languages such as Scheme
 - Binding: insert the binding at the front of the list, lookup: search the list from head to tail
 - Entering a scope: save the current list, exiting: recalling the old list
- A non persistent implementation: with a stack
 - Binding: push the binding on top of the stack, lookup: search the stack from top to bottom
 - Entering a scope: push a marker on the top of the stack, exiting: pop all bindings from the stack until a marker is found, which is also popped
 - This approach destroys the symbol table when exiting the scope (problematic in some cases)

More efficient data structures

- Search in list or stack is O(n) for n symbols in the table
- One can use more efficient data structures like hash-tables or binary search trees
- Scopes can then be handled in several ways:
 - Create a new symbol table for each scope and use a stack or a linked list to link them
 - Use one big symbol table for all scopes:
 - Each scope receives a number
 - All variables defined within a scope are stored with their scope number
 - Exiting a scope: removing all variables with the current scope number
 - There exist persistent hash-tables

Types

Type checking is verifying that each operation executed in a program respects the type system of the language, i.e., that all operands in any expression are of appropriate types and number

Type systems:

- Static typing if checking is done at compilation-time (e.g., C, Java, C++)
- Dynamic typing if checking is done at run-time (e.g., Scheme, Javascript).
- Strong typing if all type errors are caught (e.g., Java, Scheme)
- Weak typing if operations may be performed on values of wrong types (e.g., C, assembly)
- Implicit type conversion, or coercion, is when a compiler finds a type error and changes the type of the variable into the appropriate one (e.g., integer→float)

Principle of static type checking

- Identify the types of the language and the language constructs that have types associated with them
- Associate a type attribute to these constructs and semantic rules to compute them and to check that the typing system is respected
- Needs to store identifier types in the symbol table
- One can use two separate tables, one for the variable names and one for the function names
- Function types is determined by the types (and number) of arguments and return type. E.g., (*int*, *int*) → *int*
- Type checking can not be dissociated from scope and other semantic checking

Illustration

We will use the following source grammar to illustrate type checking

D	,	F	Exp	\rightarrow	num
Program	\rightarrow	F uns	Exp	\rightarrow	id
E		F	Exp	\rightarrow	Exp + Exp
F uns	\rightarrow	F un	Exp	\rightarrow	Exp = Exp
Funs	\rightarrow	Fun Funs	Exp	\rightarrow	if Exp then Exp else Exp
Eum	,	Tunald (Tunalda) - Eur	Exp	\rightarrow	id (Exps)
r un	\rightarrow	Typeta (Typetas) = Exp	Exp	\rightarrow	let id = Exp in Exp
TypeId	\rightarrow	int id			
TypeId	_	bool id	Exps	\rightarrow	Exp
гуреги			Exps	\rightarrow	Exp , Exps
TypeIds	\rightarrow	TypeId			
TypeIds	\rightarrow	TypeId , TypeIds			

(see chapter 5 and 6 of (Mogensen, 2010) for full details)

Implementation on the syntax tree: expressions

Type checking of expressions:



Follows the implementation of slide 249 with one function per nonterminal, with a switch on production rules. Could be implemented with classes/methods or a visitor pattern.

Implementation on the syntax tree: function calls

$Check_{Exp}(Exp,$	$vtable, ftable) = \verb+case Exp of$	filled in by lexer
id (Exps)	t = lookup(ftable,getname(id))	
	if $t = unbound$	→ scope checking
	then error(); int	o
	else	
	$((t_1,\ldots,t_n)\to t_0)=t$	
	$[t'_1, \dots, t'_m] = Check_{Exps}(Exps, vtable, ftable)$	checking function
	if $m = n$ and $t_1 = t'_1,, t_n = t'_n$	
	then t ₀	arguittents
	else error(); t_0	

$Check_{Exps}(Exps, vtable, ftable) = case Exps$ of			
Exp	$[Check_{Exp}(Exp, vtable, ftable)]$		
Exp , Exps	$Check_{Exp}(Exp, vtable, ftable)$		
	$:: Check_{Exps}(Exps, vtable, ftable)$		
	→≈cons		

Implementation on the syntax tree: variable declaration

$Check_{Exp}(Exp,$	vtable, ftable) = case Exp of	
let $\mathbf{id} = Exp_1$	$t_1 = Check_{Exp}(Exp_1, vtable, ftable)$	create a new
$in Exp_2$	$vtable' = bind(vtable, getname(id), t_1)$	······
	$Check_{Exp}(Exp_2, vtable', ftable)$	scope

- Create a new symbol table *vtable*' with the new binding
- Pass it as an argument for the evaluation of *Exp*₂ (*right* child)

Implementation on the syntax tree: function declaration

synthesized attribute



Implementation on the syntax tree: program

 $\begin{array}{l} \hline Check_{Program}(Program) = \texttt{case } Program \texttt{ of } \\ \hline Funs & ftable = Get_{Funs}(Funs) & \cdots & \bullet \\ & Check_{Funs}(Funs, ftable) \\ & if \ lookup(ftable, \texttt{main}) \neq (\texttt{int}) \rightarrow \texttt{int} \\ & then \ \texttt{error}() \end{array}$

$Check_{Funs}(Funs, ftable) = case Funs of$	
Fun	$Check_{Fun}(Fun, ftable)$
Fun Funs	$Check_{Fun}(Fun, ftable)$
	$Check_{Funs}(Funs, ftable)$

Collect all function definitions in a symbol table (to allow mutual recursion)

Language semantic requires a main function

- Needs two passes over the function definitions to allow mutual recursion
- See (Mogensen, 2010) for Get_{Funs} (similar to Check_{Funs})

Compound types:

- They are represented by trees (constructed by a SDD)
- Example: array declarations in C



Compound types are compared by comparing their trees

Type coercion:

- The compiler supplies implicit conversions of types
- Define a hierarchy of types and convert each operand to their least upper bound (LUB) in the hierarchy

Overloading:

- The same name is used for several different operations over several different types (e.g., = in our source language)
- Type must be defined at translation

$Exp_1 = Exp_2$	$t_1 = Check_{Exp}(Exp_1, vtable, ftable)$
	$t_2 = Check_{Exp}(Exp_2, vtable, ftable)$
	if $t_1 = t_2$
	then bool
	<pre>else error(); bool</pre>

Polymorphism/generic types:

- Functions defined over a large class of similar types
- E.g: Functions that can be applied over all arrays no matter what the types of the elements are

Dynamic typing:

- Type checking is (mostly) done at run-time
- Objects (values) have types, not variables
- Dynamically typed languages: Scheme, Lisp, Python, Php...
- The following scheme code will generate an error at run time

```
(defun length
(lambda (l)
(if (null? l)
0
(+ 1 (length (cdr l))))))
(length 4)
```

Implicit types and type inference:

- Some languages (like ML, (O)Caml, Haskell, C#) do not require to explicitly declare types of (all) functions or variables
- Types are automatically inferred at compile time.
- The following OCaml code will generate an error at compile time

```
\label{eq:let_rec} \begin{array}{l} \mbox{let rec length} = \mbox{function} \\ \mbox{[]} - > 0 \\ \mbox{|} \mbox{h::t} - > 1 + \mbox{(length t);;} \end{array}
```

```
let myf () = length 4;;
```

In our illustrative example, there is inference in the let expression:

The type of **id** is inferred from the type of Exp_1 .

Scope and type checking for object-oriented languages

- Each class declaration is added to the (global) symbol table or to a separate table for types
- Each class declaration also introduces a new scope:
 - that contains all declared fields and methods
 - that have scopes of methods as sub-scopes
- Inheritance implies a hierarchy of class scopes
 - If class B extends class A, then scope of A is a parent scope for B.
- Each scope can be implemented as a single symbol table, combining fields and methods, or as two separate tables, one for fields and one for methods.

Example



Scope checking

Resolve identifier occurrence in a method:

 Starts the search from the symbol table of the current block and then walk the symbol table hierarchy

Resolve qualified accesses: e.g., o.f, where o is of class A.

- Walk the symbol table hierarchy starting with the symbol table of class *A*.
- this (or self) keyword starts the walk from the symbol table of the enclosing class.

Note: multiple inheritance (Class B extends A,C) can cause problems when the same symbol exist in two parent scopes.

Subtyping

- If class B extends class A, then type B is a subtype of A (type A is a supertype of B).
- Subtype polymorphism: Code using class A objects can also use class B objects.
- For type checking, subtype relations can be tested from the hierarchy of class symbol tables.
- Problems with subtyping:
 - The actual type of an object is unknown at compile time: it can be the declared class or any subclass.
 - Problematic for overridden fields and methods: we don't know which declaration to use at compile time.
 - Solution is language dependent: this can be addressed statically by imposing constraints on language and/or dynamically